

FSDC

香港金融發展局

Financial Services
Development Council

Annual Report

2014/15

年報

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背景 Background

- ▶ 行政長官梁振英先生在其競選政綱中承諾，政府會成立金融發展局（金發局），以促進香港國際金融中心的進一步發展。
- ▶ 二零一三年一月，香港特別行政區政府成立金發局為高層次及跨界別的諮詢機構，以就如何促進香港金融服務業的更大發展，並為金融服務業制訂策略性發展路向，諮詢業界並提出建議。
- ▶ 二零一三年一月十七日，行政長官委任史美倫女士為金發局主席，另又委任 21 人為金發局成員。其後，行政長官在二零一三年四月五日再委任 34 名非官方人士及兩名官方人士，加入金發局，以協助金發局轄下五個小組執行工作。該五個小組分別為政策研究小組、內地機遇小組、拓新業務小組、市場推廣小組，以及人力資源小組。
- ▶ 各個小組分別負責研究金融服務業的個別範疇，以及推行有助發展的相關措施。工作範圍包括提出務實可行的建議，以供政府、監管機構、業界及其他相關各方考慮；探討香港在內地金融改革和內地市場與貨幣國際化的過程中，所擔當的獨特角色；吸引資金流入本港的資本市場；推廣香港金融服務業，使其廣為人識；以及培育本地人才。
- ▶ 行政長官於二零一五年一月十六日再度委任史美倫女士（主席）和 14 名現任成員，以及委任七名新成員，任期由二零一五年一月十七日開始，為期兩年。
- ▶ 這份年報所涵蓋的期間由二零一四年四月一日至二零一五年三月三十一日。

- ▶ The Chief Executive the Honourable C Y Leung pledged in his election manifesto to establish a Financial Services Development Council (“FSDC”) under his Administration to facilitate the further development of Hong Kong’s position as an international financial centre.
- ▶ In January, 2013, the Hong Kong Special Administrative Region (HKSAR) Government established the FSDC as a high-level, cross-sectoral advisory body to engage the industry and formulate proposals to promote the further development of Hong Kong’s financial services industry and map out the strategic direction for development.
- ▶ The Chief Executive appointed Mrs Laura M Cha as Chairman and 21 members to the FSDC on 17 January, 2013. Further appointments of 34 non-official members and two ex-officio members were made on 5 April, 2013 to serve on five Committees, namely the Policy Research Committee; the Mainland Opportunities Committee; the New Business Committee; the Market Development Committee and the Human Capital Committee.
- ▶ The Committees were formed to study specific areas of the financial services industry and propose relevant development initiatives and pragmatic action points for the Government. In the process, the Committees engage regulators, industry and other stakeholders to examine Hong Kong’s unique role in the Mainland’s financial reform and the internationalisation of its market and currency, attract funds to our capital markets, raise the profile of Hong Kong’s financial services industry, and build capacity of our home-grown professionals.
- ▶ The Chief Executive re-appointed Mrs Laura M Cha (Chairman) and fourteen incumbent members to the FSDC on 16 January, 2015, and appointed seven new members to the Council for a term of two years from 17 January, 2015.
- ▶ This report covers the period from 1 April, 2014 to 31 March, 2015.



主席獻辭 Chairman's message

金融發展局（金發局）成立至今已有兩年。作為諮詢組織，金發局的歷史也許相對尚淺，但我們會繼續勉力為本地業界及市場拓展商機，進一步推動香港這個國際金融中心的發展，無時或怠。

金發局在二零一四至一五年度向政府提交了七份研究報告，並公布周知。這些報告涵蓋多個課題：拓展內地機遇及人民幣商機；優化本港首次公開招股及證券交易平台；推動香港金融服務；以及發展人力資源。金發局在上述報告和二零一三年十一月發布的六份研究報告中，提出多項措施，我們樂見當中不少新猷已獲政府和有關監管機構採納，付諸實施。

金發局會再接再厲，與業內不同界別保持緊密聯繫，繼續擔當政府與專家和從業員的溝通橋樑，集思廣益，協力進一步推動本地市場發展。

金發局也與其他相關各方合作，贊助或參與多個本地、內地和海外的宣傳活動，藉以推廣香港作為主要環球金融中心的地位。舉辦這類活動的城市包括內地的博鰲、北京及廣州，海外的巴黎，當然少不了香港。金融世界瞬息萬變，這類活動讓參與者知悉香港的最新情況，並宣傳香港的國際金融網絡優勢，卓見成效。

另一項重點工作，就是發展人力資源，我們在來年會繼續這方面的工作。金發局近期發表的其中一份研究報告指出，香港金融服務業大有可為，機遇無限。本港的畢業生及專業人士有許多競爭優勢，配合來自海外的人才，相輔相成。謀事在人，匯聚人才，正是香港的優勢所在。

過去兩年，金發局全體成員及小組成員為各項工作盡心盡力，貢獻良多，我在此謹衷心致謝，期望繼續與諸位成員齊心協力，進一步推動本地金融服務業發展，以鞏固並提升香港這個亞洲首屈一指金融中心的地位。

金融發展局主席
史美倫

The Financial Services Development Council (FSDC) has now been in existence for two years. As an advisory body, it is perhaps still relatively new. Nonetheless, we continue to be busy at work, seeking further growth for our industry and markets, and the development of Hong Kong as an international financial centre.

During 2014/15, the FSDC published and submitted to the Government seven reports. These reports covered a range of subjects such as leveraging Mainland and Renminbi opportunities, enhancing our IPO and equity trading platforms, promoting Hong Kong's financial services, and developing human capital. We are pleased to see that the Government and relevant regulators have taken forward a number of measures related to these as well as our six previous reports (published in November 2013).

The FSDC will continue to maintain constant contact with the different segments of our industry and to serve as a platform for the Government to gather experts' and practitioners' views on the further development of our markets.

In collaboration with other stakeholders, the FSDC also sponsored or participated in numerous local, Mainland, and overseas marketing campaigns to promote Hong Kong's position as a key global financial centre. Events took place in Boao, Beijing, Guangzhou, Paris, and, of course, at home in Hong Kong. Given the fast changing world of finance, these efforts to keep Hong Kong in everyone's view and to articulate our strengths within the international network were very well received.

Another area of our focus has been — and will continue to be in the next year — the development of human capital. As one of FSDC's recent reports highlighted, there are plenty of opportunities in our industry. Hong Kong graduates and professionals have many competitive advantages and are, of course, complemented by talents coming from outside to join us. This is a key strength of ours; it is always the people who make the real difference.

I wish to express my appreciation to all Council and Committee Members for their dedication and contribution over the past two years. I look forward to continuing to work with members to build on FSDC's momentum for the further development of our industry so as to maintain and elevate Hong Kong's position as Asia's preeminent financial centre.

Laura M Cha
Chairman

使命 Mission

金融服務業支持政府成立高層諮詢組織，以支援業界持續發展。有見及此，香港特區政府在二零一三年一月成立金發局，就如何推動香港金融服務業的更大發展及金融產業策略性發展路向，諮詢業界並提出建議。金發局會致力凝聚業界力量，集思廣益，並為業界推廣代言和培育人才。

目標

金發局的工作目標是：

1. 就開拓金融市場和加強香港國際金融中心競爭力的策略和措施，向政府提供諮詢意見和建議；
2. 為相關各方發表意見提供渠道，並為金融服務界爭取權益；
3. 支持金融服務界提升從業人員的核心競爭能力和專業技能；以及
4. 在內地和海外推廣本地金融服務業和香港的國際金融中心優勢。

職能範圍

金發局的職能範圍如下：

1. 進行政策研究和業界調研，制訂建議供政府和監管機構參考；
2. 與監管機構和行業團體共同探討金融服務業持續多元發展的機遇和掣肘；
3. 與內地和海外相關機構保持溝通，支持香港金融服務業開拓新市場和新業務；
4. 與教育培訓機構、行業團體和業界合作，提升從業人員的技術和專業知識；以及
5. 通過舉辦研討會、路演、印發刊物以及積極參與國際活動，在內地和海外推廣香港的金融服務業和國際金融中心功能。

金發局下設有五個小組，包括政策研究小組、內地機遇小組、拓新業務小組、市場推廣小組以及人力資源小組。各個小組深入研究金融業的個別範疇，制定工作方向及計劃，並向政府提供意見和建議。

The FSDC was established by the HKSAR Government in January, 2013 in response to the financial services industry's call for a high-level government advisory body to support the sustained development of the industry. The FSDC engages the industry and formulates proposals to promote the further development of Hong Kong's financial services industry and map out the strategic direction for the development of Hong Kong as an international financial centre. It acts as a focal point of views and melting pot of ideas, as a champion and ambassador of the industry, and as a capacity builder.

Objectives

The FSDC aims to:

1. advise the Government on strategies and measures to expand the scope of our financial markets and enhance the competitiveness of Hong Kong as an international financial centre;
2. provide a channel for stakeholders to express views and champion the interests of the financial services industry;
3. support the financial services industry in developing the core competence and knowledge of its practitioners; and
4. promote our financial services industry and Hong Kong as an international financial centre on the Mainland and overseas.

Terms of Reference

The terms of reference of the Council are:

1. To conduct policy research and industry surveys for the formulation of proposals to the Government and regulators;
2. To work with regulatory and trade bodies to identify new opportunities for, and any constraints on, the sustainable growth and diversity of the financial services industry;
3. To maintain a dialogue with the relevant bodies on the Mainland and overseas to support Hong Kong's financial services industry in accessing new markets and growth areas;
4. To facilitate the upgrading of skills and expertise through collaboration with education and training institutions, trade bodies and practitioners; and
5. To promote, on the Mainland and overseas, our financial services industry and Hong Kong as an international financial centre through seminars, road shows, publications, and through active participation in international events.

The FSDC's work is underpinned by the five Committees established, namely, Policy Research; Mainland Opportunities; New Business; Market Development; and Human Capital. The Committees are tasked to study specific areas in depth, formulate work directions and plans with a view to providing advice and recommendations to the Government.



金發局 Council Members 成員

二零一三年一月，行政長官委任 22 名金融發展局成員。金發局主席由史美倫女士出任，成員包括財經事務及庫務局局長，以及金融服務業、法律界、會計界及學術界人士。行政長官於二零一五年一月十六日再度委任史美倫擔任主席以及另外 21 名現任或新成員，任期為兩年，由二零一五年一月十七日生效。成員來自多個金融服務業界別，具廣泛代表性及多方面專才和經驗。

Twenty-two Council Members were appointed by the Chief Executive in January, 2013. The Council, chaired by Mrs Laura M Cha, comprised the Secretary for Financial Services and the Treasury and practitioners from the market, the legal and accounting professions, and the academia. On 16 January, 2015, the Chief Executive re-appointed Mrs Cha as Chairman and re-appointed/appointed another 21 incumbent/new members for a term of two years with effect from 17 January, 2015. The Council Members have different expertise and professional experience and are broadly representative of various subsectors of the financial services industry.



主席 Chairman



史美倫議員
香港上海滙豐銀行有限公司非執行副主席

The Hon Mrs Laura M Cha
Non-Executive Deputy Chairman
The Hongkong & Shanghai Banking Corporation Limited



陳翊庭女士
達維律師事務所合伙人

Ms Bonnie Y Chan
Partner
Davis Polk & Wardwell



拿督謝清海
惠理集團有限公司主席兼聯席首席投資總監

Dato' Cheah Cheng-hye
Chairman and Co-Chief Investment Officer
Value Partners Group Limited

成員 Members



安納德教授
香港大學法律學院教授

Prof Douglas W Arner
Professor, Faculty of Law
The University of Hong Kong



區景麟博士
中銀香港資產管理有限公司行政總裁

Dr Au King-lun
Chief Executive Officer
BOCHK Asset Management Limited



陳爽先生
中國光大控股有限公司執行董事兼首席執行官

Mr Chen Shuang
Executive Director and Chief Executive Officer
China Everbright Limited



狄勤思先生
財務匯報局行政總裁

Mr Mark Dickens
Chief Executive Officer
Financial Reporting Council



方舜文女士
香港貿易發展局總裁

Ms Margaret Fong
Executive Director
Hong Kong Trade Development Council



范華達先生
高盛 (亞洲) 有限責任公司 Advisory Director

Mr Tim Freshwater
Advisory Director
Goldman Sachs (Asia) L.L.C.



李細燕女士
香港證券學會會長

Ms Jeanne Lee
Chairman
Hong Kong Securities Professionals Association



李君豪先生
東泰集團主席

Mr Vincent Marshall Lee Kwan-ho
Chairman
Tung Tai Group of Companies



馮愉敏先生
摩根大通銀行亞太區私人銀行主席

Mr E Michael Fung
Chairman, Private Banking Asia
JPMorgan Chase Bank, N.A.



洪丕正先生
渣打銀行 (香港) 有限公司大中華地區行政總裁

Mr Benjamin Hung
Regional Chief Executive Officer, Greater China
Standard Chartered Bank (Hong Kong) Limited



李律仁先生
Temple Chambers 大律師

Mr Laurence Li Lu-jen
Barrister-at-Law
Temple Chambers



劉廷安先生
中國人壽保險 (海外) 股份有限公司副董事長兼總裁

Mr Anton Liu Tingan
Deputy Chairman and President
China Life Insurance (Overseas) Company Limited



倪以理先生
麥肯錫公司資深董事兼香港區總經理

Mr Joe Ngai
Director and Managing Partner, Hong Kong
McKinsey & Company, Inc. HK



秦曉先生
博源基金會理事長

Mr Qin Xiao
Chairman
BOYUAN Foundation



葉招桂芳女士
羅兵咸永道中國及香港金融服務業主管

Mrs Florence Yip
National Tax Leader, Financial Services
PricewaterhouseCoopers



張磊先生
高瓴資本總裁兼首席執行官

Mr Zhang Lei
President and Chief Investment Officer
Hillhouse Capital Management



馬雪文先生
高偉紳律師行合夥人兼
環球基金暨投資管理業務部主管

Mr Mark G Shipman
Partner and Global Head of Funds &
Investment Management Sector
Clifford Chance



謝湧海先生
中銀國際英國保誠資產管理有限公司董事長

Mr Tse Yung-hoi
Chairman
BOCI-Prudential Asset Management Limited

當然成員 Ex-officio Member



陳家強教授
財經事務及庫務局局長

Prof K C Chan
Secretary for Financial Services and the Treasury

小組成員

Committees Membership

行政長官於二零一三年四月委任 34 名非官方人士及兩名官方人士，加入金發局，以協助該局轄下五個小組的工作。

The Chief Executive appointed 34 non-official Members and two ex-officio Members in April, 2013 to serve five Committees under the FSDC.

政策研究小組

政策研究小組善用金發局成員廣泛代表性和人脈的優勢，深入研究與發展香港金融服務業息息相關的課題，集思廣益，提出務實可行的建議，以供政府、監管機構、業界及其他相關各方考慮。

Policy Research Committee

The Policy Research Committee leverages on the broad-based representation of the FSDC and its Members' extensive industry network to facilitate in-depth deliberation on the development of Hong Kong's financial services industry. It produces pragmatic action points for consideration by the Government, regulators, industry and other stakeholders.

召集人 Convenor

李律仁先生
Temple Chambers
大律師

Mr Laurence Li Lu-jen
Barrister-at-Law
Temple Chambers

成員 Members

安納德教授
香港大學法律學院
教授
Prof Douglas W Arner
Professor, Faculty of Law
The University of Hong Kong

陳翊庭女士
達維律師事務所
合伙人
Ms Bonnie Y Chan
Partner
Davis Polk & Wardwell

蕭大衛教授
香港中文大學法律學院
教授
Prof David C Donald
Professor, Faculty of Law
The Chinese University of Hong Kong

王國龍先生
領匯管理有限公司
執行董事兼行政總裁
Mr George Hongchoy
Executive Director
and Chief Executive Officer
The Link Management
Limited

黃海洲先生
中國國際金融有限公司
董事總經理
Mr Huang Haizhou
Managing Director
China International Capital
Corporation Limited

李君豪先生
東泰集團
主席
Mr Vincent Marshall Lee
Kwan-ho
Chairman
Tung Tai Group of Companies

馬雪文先生
高偉紳律師行
合夥人兼環球基金暨
投資管理業務部主管
Mr Mark G Shipman
Partner and Global Head
of Funds & Investment
Management Sector
Clifford Chance

威廉·思眾先生
摩根士丹利
高級顧問
Mr William H Strong
Senior Advisor
Morgan Stanley

杜國汶先生
貝萊德
董事總經理及
亞太區總法律顧問
Mr Graham Turl
Managing Director
and General Counsel
Asia Pacific
BlackRock

朱雲來先生
Mr Levin Zhu

當然成員 Ex-officio Member

劉怡翔先生
財經事務及庫務局副局長
Mr James H Lau Jr.
Under Secretary for Financial
Services and the Treasury

內地機遇小組

內地機遇小組研究內地金融改革和內地市場與貨幣國際化的事宜，以及其對香港金融服務業的影響。在研究過程中，內地機遇小組會找出香港所擔當的獨特角色，鼓勵內地與香港相關層面的對口單位多加溝通，並爭取政府、監管機構及業界支持，協作推出活動，以達至兩地互惠互利的目標。

Mainland Opportunities Committee

The Mainland Opportunities Committee serves to study the Mainland's financial reform and the internationalisation of its market and currency and their implications for the financial services industry of Hong Kong. In the process, it identifies Hong Kong's unique role and encourages cross-boundary dialogues at relevant levels, and rallies support from Government, regulators and industry for activities to the mutual benefit of the Mainland and Hong Kong.

召集人 Convenor

秦曉先生
博源基金會
理事長
Mr Qin Xiao
Chairman
BOYUAN Foundation

成員 Members

陳爽先生
中國光大控股有限公司
執行董事兼首席執行官
Mr Chen Shuang
Executive Director
and Chief Executive Officer
China Everbright Limited

馮煒能先生
嘉信金融服務
董事及總經理
Mr Brian Fung
Director & General Manager
Kaiser Financial Services

何迪先生
博源基金會總幹事
瑞士銀行投資銀行副主席
Mr He Di
Director-General
BOYUAN Foundation
Vice Chairman
Investment Banking
UBS AG, Hong Kong

郭琳廣先生
郭葉陳律師事務所
合伙人
Mr Larry Kwok Lam-kwong
Partner
Kwok Yih & Chan

梁兆基先生
香港上海匯豐銀行有限公司
亞太區顧問
Mr George Leung Siu-kay
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The Hongkong & Shanghai Banking
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劉廷安先生
中國人壽保險(海外)
股份有限公司
副董事長兼總裁
Mr Anton Liu Tingan
Deputy Chairman and President
China Life Insurance (Overseas)
Company Limited

譚何錦文女士
渣打銀行(香港)有限公司
香港區公司管治及策劃推動主管
Mrs Bethy Tam Ho Kum-man
Head of Governance & Strategic Initiatives
Hong Kong
Standard Chartered Bank (Hong Kong) Limited

謝湧海先生
中銀國際英國保誠資產管理有限公司
董事長
Mr Tse Yung-hoi
Chairman
BOCI-Prudential Asset Management Limited

王祖興先生
大新銀行集團有限公司
董事總經理兼行政總裁
Mr Harold T Wong
Managing Director and Chief Executive
Dah Sing Banking Group Limited

拓新業務小組

拓新業務小組專注拓展各類能夠提升香港競爭力和突顯其獨特定位的金融服務業業務，目標是吸引資金流入本港的資本市場。拓新業務小組會與金發局轄下其他小組、業界團體和相關各方緊密合作，協力研究在本港具發展潛力的新業務。拓新業務小組還會探討如何進一步發展本港的資產管理業務。

New Business Committee

The New Business Committee focuses on business in financial services that reinforces Hong Kong's competitiveness and differentiates our unique position. Its goal is to attract funds to our capital markets. It works in close collaboration with other Committees under the FSDC, industry bodies and stakeholders to study possible areas of new business that can be developed in Hong Kong. It also examines the further development of the asset management business in Hong Kong.

召集人 Convenor

葉招桂芳女士
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National Tax Leader
Financial Services
PricewaterhouseCoopers

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Dato' Cheah Cheng-hye
Chairman and Co-Chief
Investment Officer
Value Partners Group Limited

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摩根士丹利亞洲有限公司
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OrbusNeich Medical Company Limited

梁家齊先生
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Mr John Levack
Director
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馬凱博先生
貝萊德
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市場推廣小組

市場推廣小組的職責是推廣金融服務業，使其不論是在香港、內地還是海外，都廣為人識。市場推廣小組會擔當策略協調者的角色，匯聚業界相關各方的力量，以確立宣傳工作的目標和提高其成效，務求全面推廣香港金融服務業的品牌。市場推廣小組會與金發局轄下其他小組互相配合，並邀請相關人士參與其事，一同推廣香港形形色色的金融服務，使香港成為環球及內地企業首選的金融服務中心。

Market Development Committee

The Market Development Committee serves to raise the profile of the financial services industry in Hong Kong, the Mainland and overseas. It aims to enhance the effectiveness and focus of promotional efforts of various industry stakeholders by being a strategic coordinator to champion the “Hong Kong Inc.” brand for financial services in a holistic manner. Working alongside the other Committees under the FSDC and engaging with the stakeholders, the Market Development Committee works towards promoting Hong Kong as the prime choice for global and mainland firms to locate financial services operations.

召集人 Convenor

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Mr Benjamin Hung
Regional Chief Executive Officer
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Ms Lena Chan
Committee Member
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Professionals Association

邱令智先生
新昌營造集團有限公司
及 Cambium Learning Group
非執行董事
路博邁集團及安祖高頓公司
高級顧問
Mr Clifford King Chiu
Non-executive Director
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Group Limited
and Cambium Learning Group
and Senior Advisor
Neuberger Berman Group
and Angelo, Gordon & Co.

杜漢文先生
瑞士隆奧
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Mr Vincent Duhamel
Head of Asia
Lombard Odier

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劉麥嘉軒女士
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羅志偉先生
德意志銀行
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Chief Country Officer, Hong Kong
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黃元山先生
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當然成員

Ex-officio Member

賈沛年先生
投資推廣署署長
Mr Simon Galpin
Director-General of Investment Promotion
Invest Hong Kong

人力資源小組

人力資源小組會全面研究金融服務業的人力資源發展策略，以促進金融人才的供求雙方協作。鑑於金融服務的發展一日千里，而金融服務業也愈來愈重視亞洲以至全球各地的商機，人力資源小組會支持業界培育本地人才，以及找出可能妨礙香港吸引海外合適人才的原因。

Human Capital Committee

The Human Capital Committee examines the strategic development of human capital in the financial services industry with a holistic view. It aims to strengthen collaboration between the demand and supply sides of the financial talent pool. It supports capacity building for our home-grown professionals and identifies issues which may hinder Hong Kong from attracting the right talents, with due consideration to the fast-evolving nature of financial services and the increasingly global and regional focus of the industry.

召集人 Convenor

倪以理先生
麥肯錫公司
資深董事兼香港區總經理
Mr Joe Ngai
Director and Managing Partner
Hong Kong
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成員 Members

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基金業務及董事會服務負責人
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Prof Wong Siu-fun
Professor of Practice in Finance
School of Economics and Finance
The University of Hong Kong



二零一四年四月十日

在博鰲亞洲論壇 2014 年年會上，金發局主席史美倫女士、陳家強教授及洪丕正先生出席題為「香港國際金融中心地位與未來發展」的專題環節，擔任討論嘉賓。

10 April, 2014

The Chairman, Mrs Laura M Cha, Professor KC Chan and Mr Benjamin Hung were among the panelists at the “Boao Forum for Asia Annual Conference 2014” breakout session under the theme “The Future of Hong Kong as an International Financial Centre”.



二零一四年六月十八日

為發表《定位香港為國際首選的首次公開招股中心》研究報告舉行傳媒簡報會。就如何進一步提升香港作為首次公開招股平台的吸引力，提出建議。

18 June, 2014

A media briefing was held to release the research report titled “Positioning Hong Kong as an IPO Centre of Choice”. The report provided proposals to further strengthen Hong Kong’s appeal as an initial public offering platform.



二零一四年九月三至四日

金發局的考察團訪問北京，與中國證券監督管理委員會、國務院國有資產監督管理委員會，以及數家國有和民營企業的代表會面交流。

3-4 September, 2014

A FSDC delegation visited Beijing and met with representatives from the China Securities Regulatory Commission, State-owned Assets Supervision and Administration Commission, and a few state-owned and private enterprises.

二零一四年十月二十日

格林勳爵以「向國際推介金融及專業服務業：挑戰與機遇」為題，向金發局成員發表演說，分享英國向海外推廣金融服務業的心得。

20 October, 2014

Lord Green of Hurstpierpoint spoke on “Representing the Financial and Professional Services Internationally: Challenges and Opportunities” and shared his insights with FSDC Members on how the UK promotes its financial services industry overseas.



二零一四年十月二十八日

在香港貿易發展局於巴黎舉行的大型推廣活動「邁向亞洲，首選香港」中，合辦以「香港：亞洲首屈一指的資產及財富管理中心」為題的專題環節。

28 October, 2014

Co-organised a breakout session under the theme “Hong Kong: Asia’s Premier Asset and Wealth Management Centre” at the Hong Kong Trade Development Council’s “Think Asia, Think Hong Kong” mega promotion campaign in Paris.





二零一四年十一月九日

首次舉辦「金融發展局就業資訊日」，向學生及青年介紹金融服務業的就業機會，鼓勵他們投身行業。

9 November, 2014

Organised the inaugural “FSDC Career Day” to promote career opportunities in the financial services industry to students and young people, with a view to inspiring them to enter the industry.



二零一四年十一月二十八日

行政長官及財政司司長與金發局及其轄下五個小組的成員會面。

28 November, 2014

The Chief Executive and the Financial Secretary met with Members of the Council and its five Committees.

二零一五年一月十六日

政府公布金發局的任命。行政長官再度委任史美倫為主席，並再度委任十四名現任成員，另又委任七名新成員，任期兩年，由二零一五年一月十七日至二零一七年一月十六日，首末兩天包括在內。

16 January, 2015

The Government announced re-appointments and new appointments to the FSDC. The Chief Executive re-appointed Mrs Laura M Cha (Chairman) and fourteen incumbent members, and also appointed seven new members for a term of two years from 17 January, 2015, to 16 January, 2017, both dates inclusive.



二零一五年一月十九至二十日

協辦香港金融界每年一度的盛事「亞洲金融論壇」，並主持以「香港：亞洲首屈一指的資產及財富管理中心」為題的專題早餐會。

19-20 January, 2015

Co-sponsored the “Asian Financial Forum”, the annual flagship financial event in Hong Kong, and hosted the Breakfast Panel under the theme “Hong Kong: Asia’s Premier Asset and Wealth Management Centre”.



二零一五年一月二十六日

舉行傳媒簡報會，發表題為《發展香港金融服務業人力資源》的研究報告，概述業界的人力資源狀況，並建議建立人才基礎，以助作為國際金融中心的香港持續發展。

26 January, 2015

A media briefing was held to release the research report on “Developing Hong Kong’s Human Capital in Financial Services” which provided an overview of the industry’s human capital situation and recommendations to develop a talent base for the sustainable development of Hong Kong as an international financial centre.



研究報告 Research Reports

繼二零一三年十一月發表首批共六份研究報告後，金融發展局（金發局）在二零一四至一五年度再發表七份研究報告，並已把報告提交政府考慮。憑藉金發局成員所擁有的種種專長和淵博知識，以及在金融服務業各個領域所建立的廣泛網絡，金發局在年內深入探討不同課題，包括如何進一步鞏固本港的集資及證券投資平台、拓展內地機遇及人民幣商機、推廣香港國際金融中心的地位，以及為金融業發展人力資源，並發表相關報告。報告提出了一系列建議，供政府、監管機構、業界及相關人士考慮。

二零一四年四月，金發局發表兩份分別題為《金融發展局的推廣活動》及《金融培訓課程試點計劃》的報告，強調局方會致力與相關機構建立合作關係，以推廣本港金融服務和培育本地金融專才。具體而言，局方在《金融發展局的推廣活動》報告中，列述擬在二零一四至一五年度贊助和協辦香港貿易發展局的年度重點推廣活動；另在《金融培訓課程試點計劃》報告中，表明會擔當促進協調的角色，協助本地數家培訓及教育機構開辦以應屆畢業生及從業員為對象的實務培訓課程。

此外，金發局也發表了兩份有關發展本地集資及證券投資平台的研究報告。其一為在二零一四年六月發表的《定位香港為國際首選的首次公開招股中心》，內容重點是探討哪些措施可助吸引海外公司選用香港的首次公開招股平台，與香港作為內地公司首選上市地點的地位互補。基於香港不但擁有健全的法律制度，鄰近亞洲其他高速發展的經濟體，並且是國際投資者和資金的匯聚之處，報告建議有關當局考慮推行以下措施：適當分設不同市場類別，令不同類型的投資者及發行人獲得較佳的配對；在為股東提供適當保障的前提下，配合股權結構及管理方式的革新；把香港發展成為特定行業的股票發行中心。報告也討論了幾個海外發行人相關的問題，例如放寬公開存檔規定（即所謂安全港）、加強跨境監管執法、簽訂避免



雙重課稅協定、設立途徑給股東追索補償，以及推行無紙證券市場制度。報告還建議有關當局適當地檢討某些新股上市流程，包括與交收周期和安排、回撥機制及監管基石投資者等。

另一份有關報告為《香港的權益披露制度》，在二零一四年十二月發表。部份市場參與者十分關注權益披露制度的相關問題，包括披露規則過於複雜，相應的合規成本高昂；披露規則相當容易令人誤墮法網，遭受刑事檢控；以及披露權益的存檔通知對投資大眾來說不易明白。為消除他們的疑慮，報告提出多項建議，務求進一步改善本地證券市場的守則和規例。建議包括對現行規則作各種技術性修訂，以簡化匯報責任，並考慮把違反披露規則改為非刑事罪行（有犯罪意圖者除外）；以及研究是否需要徹底重訂整個制度。

在二零一四年九月發表的有關《內地與香港關於建立更緊密經貿關係的安排》的政策發展建議之報告，探討內地機遇和人民幣商機。報告提出九項具體政策建議，包括在《內地與香港關於建立更緊密經貿關係的安排》的框架下，引入負面清單的概念，以及其他建議，進一步向銀行、保險、資產管理和證券及期貨行業開放內地市場。

於二零一四年十二月發表的《發展香港成為離岸人民幣再保險中心》報告，則討論發展人民幣再保險業務的措施。報告建議一些有助香港發展人民幣再保險業務的措施，包括：為香港的再保險商提供可循環使用的人民幣合格境外機構投資者計劃特定債券投資額度，利便這些公司進入內地投資市場，使其得以提高管理資產與負債的能力；鼓勵香港的再保險商和經紀人承保或經營人民幣再保險業務。香港的人民幣再保險業務如能蓬勃發展，不但有助推動人民幣國際化，而且有利於內地保險公司分散風險，以及讓它們接觸和使用更多海外的國際再保險商和專業保險公司的服務。

除了發表上述幾份研究報告外，金發局繼續密切跟進內地的金融／經濟發展情況和相關改革，並就多項議題，例如中國企業走出去、自由貿易和經濟特區，以及證券化市場，擬備工作文件，供成員討論和政府參考。

要鞏固香港作為札根亞洲、首屈一指金融中心的地位，其中一項關鍵因素是建立培育制度，源源不絕供應人才。二零一五年一月，金發局發表題為《發展香港金融服務業人力資源》的報告。報告指出，雖然香港人才濟濟，各有所長，較亞洲其他金融中心優勝，但金融服務業多個界別在招攬和挽留經驗豐富的員工方面都有困難。在私人銀行和保險業，以及畢業生不甚了解的中台部門/後勤部門，人才短缺的情況尤其嚴重。報告建議循四個方面推行措施，以提高本地金融服務業從業員的專業水平，包括加深各界人士對金融服務業各類職位的了解；推動學術界和業界加強合作，協助有志從事金融服務業的專才好好裝備自己；邀請業界專才及從業員在培訓活動中分享心得；以及解決影響香港整體就業環境的一些結構性問題。

概括而言，金發局在研究報告內提出的建議，反映局方就不同課題諮詢業界期間收集所得的意見。有關建議都經過金發局成員及其小組成員詳細研究，反覆討論。我們樂見政府及監管機構繼續跟進金發局所提出的一些建議。金發局研究報告所涵蓋的多個課題，均有令人鼓舞的進展，包括：

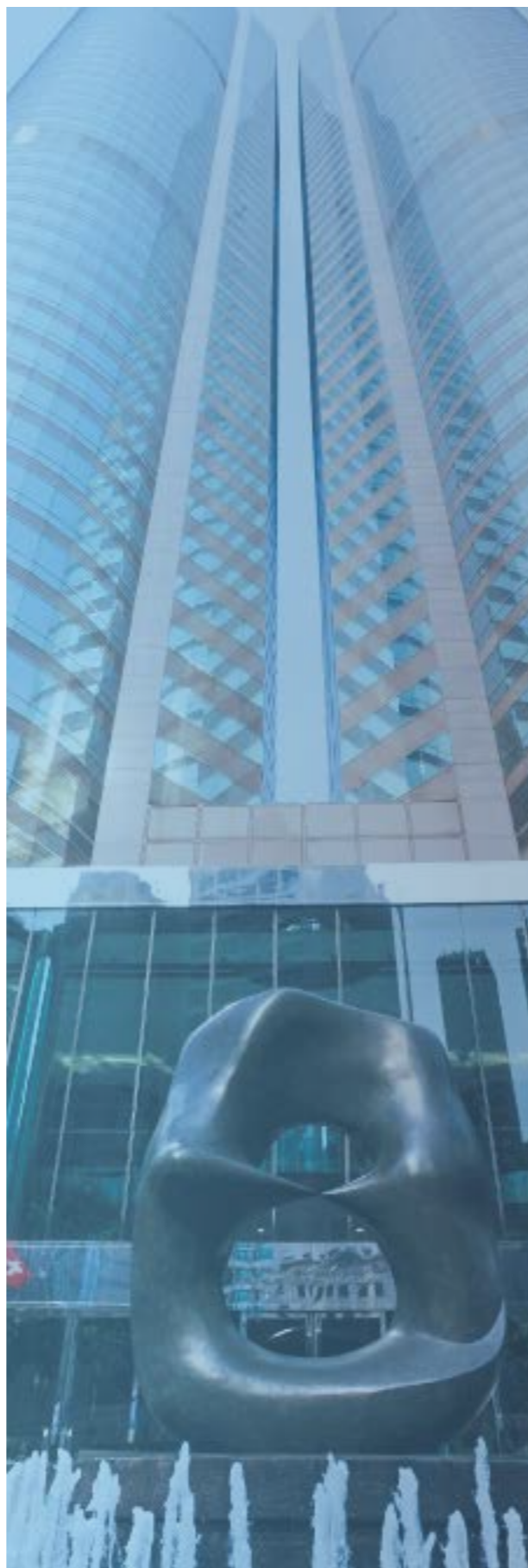
金發局研究報告

二零一四至一五年間的進展

《關於加快建設香港離岸人民幣中心的建議》

金管局把七家活躍參與離岸人民幣市場的銀行指定為一級流動性提供行，有關安排由二零一四年十月二十七日起生效。獲指定為一級流動性提供行的銀行，會致力運用和發展香港的環球金融平台，藉以拓展其離岸人民幣業務，而金管局則會為每家一級流動性提供行提供人民幣回購協議設施，利便他們管理流動性。

香港居民每日只可兌換 2 萬元人民幣的限額，由二零一四年十一月十七日起取消，香港居民參與滬港股票市場交易互聯互通機制（滬港通）及其他人民幣金融交易會更為方便。



《內地金融業的發展、改革與香港金融中心地位的鞏固、提升》

《發展香港成為房地產投資信託基金的集資中心》

《為香港的開放式投資公司設立法律及監管框架的建議》

二零一四年十二月，香港交易及結算所有限公司（香港交易所）推出三種以人民幣交易的商品期貨合約，包括倫敦鋁期貨小型合約、倫敦鋅期貨小型合約及倫敦銅期貨小型合約。

自二零一四年十月開始，人民幣清算行的即日人民幣清算服務時間，由每日 15 小時進一步延長至 20.5 小時（直至翌日凌晨五時），以涵蓋歐美時區。

二零一四年十一月十七日，滬港通正式開通，使滬港兩地的股票市場得以互通。合資格的內地投資者可直接投資於香港股票市場，而香港及海外投資者也可直接投資於上海股票市場。

證券及期貨事務監察委員會（證監會）經廣泛徵詢業界及公眾的意見後，在二零一四年八月公布經修訂的《房地產投資信託基金守則》，使這類基金在相關的限制下，可彈性投資物業發展活動（不得超過這類基金資產總值的 10%）及金融工具。有關措施讓房地產投資信託基金繼續成為主要產生定期租金收入的投資工具。

在二零一三至一四年度的《政府財政預算案》中，財政司司長宣布引入以公司形式成立的開放式基金（公司型開放式基金）。政府現正擬訂具體立法建議，藉以為公司型開放式基金的新結構提供法律框架。

《就〈二零一三至一四財政年度政府財政預算案〉有關私募基金的稅務寬免和反避稅措施建議概要》

《定位香港為國際首選的首次公開招股中心》

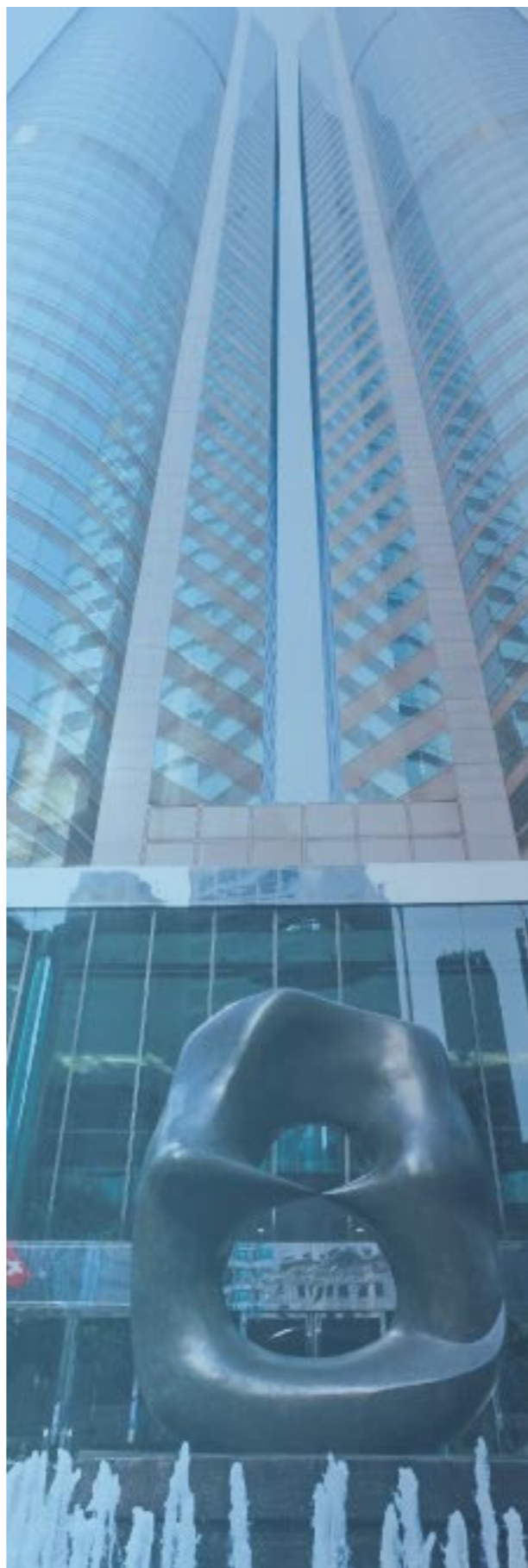
《有關〈內地與香港關於建立更緊密經貿關係的安排〉的政策發展建議》

二零一五年三月，政府向立法會提交條例草案，建議私募基金可與離岸基金看齊，同樣獲豁免繳付利得稅。這是二零一三至一四年度《政府財政預算案》宣布的另一項措施。

二零一五年三月十八日，有關在香港推行無紙證券市場制度的修訂條例獲得通過。證監會計劃在二零一五年下半年就制度的實施規則諮詢公眾。

二零一四年八月，香港交易所發表了有關不同投票權架構的概念文件，徵求對以下建議的意見：凡已在或有意在香港聯合交易所上市的公司，可准予採用給予若干人士與其持股量不成比例的投票權或其他相關權利的管治架構（即不同投票權架構）。

《關於內地在廣東與香港基本實現服務貿易自由化的協議》由二零一五年三月一日起實施；協議採用正面和負面清單的混合模式表述開放措施。



《發展香港金融服務業人力資源》

財政司司長在二零一四至一五財政年度《政府財政預算案》中，要求財經事務及庫務局徵詢業界對人才培訓的意見。其後，財政司司長在二零一五至一六財政年度《政府財政預算案》中公布撥款一億元，為保險和資產財富管理兩個界別推行一項為期三年的先導計劃。在先導計劃下，政府與業界會合作推出各項活動和提供實習機會，讓社會（特別是學生）加深認識該兩個界別內不同崗位的性質及晉升前景，並會強化持續進修課程的內容，以及資助從業員修讀這些課程。

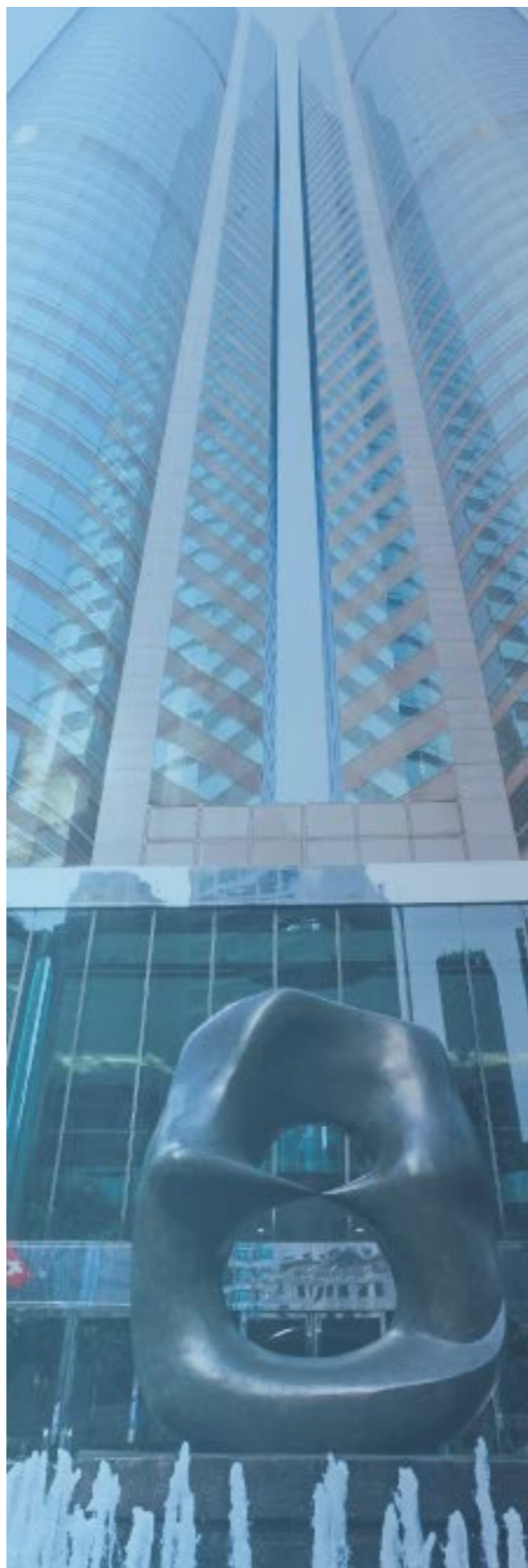
Following its first six research reports published in November, 2013, the FSDC released another seven reports in 2014/15 and submitted them to the Government. Fully leveraging the breadth and depth of Members' expertise and their extensive industry network, the reports covered a variety of subjects including further enhancing our fund raising and securities investment platform, exploring more Mainland and RMB opportunities, promoting Hong Kong's position as an international financial centre, and developing human capital in the financial services industry. The reports provided recommendations to the Government, regulators, and industry stakeholders for their consideration.

In April, 2014, the FSDC released two reports entitled "Promotional Activities by the Financial Services Development Council" and "Pilot Scheme for Training Courses" respectively, emphasising FSDC's collaborative relationships with relevant organisations in promoting Hong Kong's financial services and nurturing local financial talents. The "Promotional Activities by the Financial Services Development Council" set out the FSDC's plan to support and co-sponsor a number of key promotional events organised by the Hong Kong Trade Development Council during 2014/15, while the "Pilot Scheme for Training Courses" set out the FSDC's facilitator role in assisting a number of local training and education institutions in developing practical training courses for both fresh graduates and practitioners.



The FSDC also published two research reports on developing the local fund raising and securities investment platform. The report on “Positioning Hong Kong as an international IPO Centre of Choice”, released in June, 2014, highlighted measures that could help strengthen Hong Kong’s IPO platform and attractiveness to overseas companies and complement our position as the premier listing destination for Mainland companies. Building on Hong Kong’s reputable legal system, proximity to fast-growing economies in Asia, and the clustering of international investors and capital, the report recommended that consideration be given to : introducing distinct market segmentation to enable better matching between different types of issuers and investors; accommodating innovations in shareholding and management structure subject to appropriate shareholder safeguards; and developing Hong Kong as a hub for issuers in specific industries. The report also raised a few issues relevant to overseas issuers, including public filing safe harbours, strengthening cross-border regulatory enforcement, double taxation treaty network, and channels for shareholders’ recourse. The report further suggested conducting suitable review on procedural issues including settlement cycle, clawback mechanism, and regulation of cornerstone investments.

Another report on “Disclosure of Interests Regime in Hong Kong”, published in December, 2014, provided recommendations on further improving the practices and regulations in the local securities market to address some market participants’ concerns about the overly complex disclosure rules and



the ensuing compliance costs, relatively high risk of inadvertent criminal sanctions and difficulty for the investing public to understand the disclosure filing. The report proposed various technical improvements on the existing rules to streamline the reporting obligations and suggested decriminalising breaches of disclosure rules except those with criminal intent. The report asked the regulators to consider whether a comprehensive re-write of the regime was warranted.

Regarding Mainland and RMB opportunities, the report on “Policy Development Proposals on the Mainland and Hong Kong Closer Economic Partnership Arrangement (CEPA)” released in September, 2014 provided nine specific policy recommendations, including introducing the negative list concept to CEPA as well as recommendations on granting enhanced access to the Mainland markets for companies in the banking, insurance, asset management and securities and futures sectors.

Published in December, 2014, the report on “Developing Hong Kong as an Offshore Renminbi-denominated Reinsurance Centre” discussed measures to develop RMB-denominated reinsurance business. The report suggested initiatives to provide Hong Kong-based reinsurers recyclable RMB Qualified Foreign Institutional Investors bond quota, to enable them to tap into the Mainland investment market for better asset-liability management, and to encourage Hong Kong-based reinsurers and brokers to write or transact RMB reinsurance. A vibrant RMB reinsurance business in Hong Kong would further contribute to the internationalisation of RMB, and help Mainland insurers to diversify their exposures and offer them better access to a wider range of international reinsurance providers and specialist carriers currently based overseas.

Apart from the two reports above, the FSDC closely followed various financial/economic developments and reforms in the Mainland. A number of working papers on such topics as Chinese enterprises “Going Global”, Free Trade Zones, and securitisation market had been produced for Members’ deliberation and for the Government’s reference.

Developing a sustainable talent model is a key enabler for Hong Kong in consolidating our status as the preeminent financial centre based in Asia. The report on “Developing Hong Kong’s Human Capital in Financial Services” released in January, 2015, noted the difficulty in finding and keeping seasoned employees across many segments of the financial

services industry, notwithstanding Hong Kong's favourable position among Asian financial centres in the size and diversity of its talent pool. The shortage of talent was especially acute in private banking and insurance, as well as mid/back office positions in the sectors less familiar to young graduates. The report proposed measures in four areas to raise the bar for Hong Kong's financial talent pool, namely, enhancing graduates' understanding of various career choices in the financial services industry, stepping up collaboration between academia and the industry to better equip aspiring financial professionals, engaging industry professionals and practitioners in training activities, and addressing structural issues that affect Hong Kong's general employment environment.

In general, proposals put forward in the FSDC's reports reflected industry views arising from our engagement with stakeholders on different subjects. The recommendations had been thoroughly deliberated by members of the FSDC Council and Committees. We are pleased that the Government and the regulators have continued to follow up on some of the recommendations made by the FSDC, and welcome the encouraging development on a number of subjects covered by our reports -



FSDC Reports	Development during 2014/15
<p>Proposals to Advance the Development of Hong Kong as an Offshore Renminbi (RMB) Centre</p>	<p>Hong Kong Monetary Authority (HKMA) designated seven banks active in the offshore RMB (CNH) market as Primary Liquidity Providers (PLPs), with effect from 27 October, 2014. Banks designated as PLPs are committed to developing Hong Kong as the global platform to support their offshore RMB businesses, and HKMA in return will offer a repo line to each of the PLPs to facilitate their liquidity management.</p> <p>On 17 November, 2014, RMB conversion limit for Hong Kong residents of RMB20,000 per day was removed, making it more convenient for Hong Kong residents to participate in Shanghai-Hong Kong Stock Connect programme and other RMB financial transactions.</p>

Development and Reform of Mainland China's Financial Sector and the Strengthening and Enhancement of Hong Kong's Pivotal Role as a Financial Centre

The Hong Kong Exchanges and Clearing Limited (HKEx) introduced in December, 2014 three commodities contracts traded in RMB – London Aluminium Mini Futures, London Zinc Mini Futures and London Copper Mini Futures.

With effect from October, 2014, the RMB Clearing Bank has further extended the RMB clearing services from 15 hours to 20.5 hours daily (i.e. until 5am of the next day) to cover both the European and American time zones for same-day value payment.

The Shanghai-Hong Kong Stock Connect programme, which provides stock market trading links between Shanghai and Hong Kong, was launched on 17 November, 2014, allowing eligible Mainland investors to invest directly in Hong Kong's stock market, while Hong Kong and overseas investors are able to do the same in Shanghai's stock market.

Developing Hong Kong as a Capital Formation Centre for Real Estate Investment Trusts (REITs)

Following extensive consultation with the industry and the public, the Securities and Futures Commission (SFC) promulgated a revised Code on Real Estate Investment Trusts in August, 2014 to give REITs the flexibility to invest in property development activities (up to 10% of the REITs' gross asset value) and financial instruments, subject to relevant restrictions such that a REIT will maintain its profile as primarily a recurrently rental income generating vehicle.

Proposals on Legal and Regulatory Framework for Open-ended Investment Companies in Hong Kong

Synopsis Paper Proposing Tax Exemptions and Anti-avoidance Measures on Private Equity Funds in the 2013-14 Budget

Positioning Hong Kong as an International IPO Centre of Choice

Policy Development Proposals on the “Mainland and Hong Kong Closer Economic Partnership Arrangement”

The Financial Secretary, in his 2013-14 Budget, announced to introduce open-ended fund company (OFC) in Hong Kong. The Government is formulating detailed legislative proposals to provide the legal framework for the new OFC structure.

The Government in March, 2015 introduced a Bill into LegCo to allow private equity funds to enjoy profits tax exemption available to offshore funds, which is another initiative announced in the 2013-14 Budget.

An Amendment Ordinance was enacted on 18 March, 2015 to enable the introduction of an uncertificated securities market regime in Hong Kong. The SFC plans to consult the public on the implementation rules in the latter half of 2015.

HKEx published a concept paper on weighted voting rights in August, 2014 to seek views on whether governance structures that give some shareholders voting power or other rights not in proportion to their shareholdings (weighted voting right structures) should be permissible for companies listed or seeking to list on the HKEx.

The Agreement between the Mainland and Hong Kong on Achieving Basic Liberalisation of Trade in Services in Guangdong, implemented on 1 March, 2015, adopted a hybrid approach of negative and positive listings in further liberalisation measures.



Developing Hong Kong's Human Capital in Financial Services

The Financial Secretary, in his 2014-15 Budget, tasked the Financial Services and the Treasury Bureau to consult the industry on manpower training needs. The Financial Secretary announced in his 2015-16 Budget the allocation of \$100 million to launch a three-year pilot scheme for insurance and asset and wealth management services, under which the Government would collaborate with the industry to organise activities and provide internship opportunities to allow the community, in particular students, to have a better understanding of the nature and career prospects of different jobs in the two sectors. The Government and the industry would also enhance the contents of continuing professional development programmes, and provide financial support to encourage practitioners to enrol in these programmes.

2014/15年度活動日曆

Calendar of Events

2014/15

博鰲亞洲論壇 2014 年年會
二零一四年四月八至十日

在二零一四至一五年度，金發局與負責推廣香港工作的相關機構合作，參與多項國際、內地及本地活動，協力推廣香港的主要國際金融中心地位。金發局在活動中宣揚本地金融服務業的過人競爭力，並介紹香港在環球金融界的角色和定位。

金發局主席史美倫女士聯同兩名金發局成員，財經事務及庫務局局長陳家強教授及渣打銀行（香港）有限公司大中華地區行政總裁洪丕正先生，擔任「博鰲亞洲論壇 2014 年年會」一個專題環節的討論嘉賓。專題環節以「香港國際金融中心地位與未來發展」為主題，重點討論作為亞洲區領先金融中心的香港所具備的競爭力和所面對的機遇和挑戰及香港在人民幣國際化上所扮演的角色。

金發局考察團訪問北京
二零一四年九月三至四日

金發局成員在二零一四年九月到北京考察，訪問中國證券監督管理委員會、國務院國有資產監督管理委員會，以及數間國營和民營企業。是次考察旨在讓成員從內地有關當局及企業搜集第一手資料，加深了解內地企業海外投融資活動的發展前景及相關事宜。金發局成員也把握是次寶貴機會，鼓勵內地企業借香港為開拓海外商機和進行財資業務的樞紐。

第十屆泛珠三角區域
合作與發展論壇
二零一四年十月十二日



金發局成員陳爽先生代表金發局，在廣州白雲國際會議中心舉行的「第十屆泛珠三角區域合作與發展論壇」上，以「藉香港成熟多元化的金融平台走出去、與國際接軌」為題發表演說。是次論壇由香港特別行政區政府（香港特區政府）及香港貿易發展局（貿發局）合辦，內地業界反應熱烈，有超過 400 名代表出席。

「邁向亞洲，首選香港」路演
二零一四年十月二十八至
二十九日

十月二十八日，在貿發局於巴黎舉行的香港重點推廣活動「邁向亞洲，首選香港」中，金發局協辦了一場金融研討會。研討會以「香港：亞洲首屈一指的資產及財富管理中心」為題，邀請了多名來自資產及財富管理價值鍊不同環節的專家擔任討論嘉賓。研討會開始前，史美倫女士概述香港資產管理業務的發展情況。研討會由身兼貝萊德機構投資者業務環球主管及另類投資主席的金發局成員馬凱博先生擔任主持，席上一眾討論嘉賓積極發表意見，分析為何對基金及財富管理公司來說，香港是開拓區內投資商機和管理亞洲資金的理想金融平台，並就投資者和企業如何能夠借助這個平台分散投資而從中得益，分享心得。

金融發展局就業資訊日
二零一四年十一月九日



金發局在十一月九日舉辦首個「金融發展局就業資訊日」，邀請了業界翹楚分享經驗，讓大學生可借此平台了解金融服務業不同職位和範疇的工作。資訊日為時半日，設有主題演講、討論環節和分組專題環節，講者除了提供實用的求職竅門，還講解如何為投身金融服務業作好準備。資訊日吸引了超過 420 名來自 10 所大學的學生出席。



亞洲金融論壇
二零一五年一月十九至二十日



金發局贊助於一月二十日舉行的專題早餐會，主題為「香港：亞洲首屈一指的資產及財富管理中心」。早餐會有 900 人出席，當中包括來自香港、內地和海外的國際商業及金融服務專業人士。史美倫女士致歡迎辭後，金發局成員洪丕正先生主持互動討論環節，與演講嘉賓探討香港的地利條件，即毗鄰財富高速增长的内地及亞洲其他地區這一點，會為本地的資產及財富管理業帶來什麼商機。

金發局又與投資推廣署在論壇會場內設置「香港展台」，宣揚香港作為亞洲首屈一指的國際金融中心所享的優勢。

與行業聯繫會面
二零一四年四月至
二零一五年三月

二零一四年至二零一五年期間，金發局與業界組織舉行了十次午餐會，就其會員日常遇到的問題交換意見。業界人士提出的真知灼見，將有助金發局訂定未來工作計劃，以提升金融服務業各個界別及整體的競爭力。

Boao Forum for
Asia Annual Conference
8–10 April, 2014

In 2014/15, FSDC participated in international, mainland and local events in close collaboration with various stakeholders of “Hong Kong Inc.” and promoted Hong Kong’s position as a leading international financial centre. We articulated Hong Kong’s role and position in the global financial world by illustrating the competitiveness of our financial services.

The Chairman of the FSDC, Mrs Laura M Cha and Members of the FSDC including Professor K C Chan, Secretary for Financial Services and the Treasury and Mr Benjamin Hung, Standard Chartered Bank (Hong Kong) Limited’s Regional Chief Executive Officer for Greater China, participated as panelists at one of the breakout sessions at the Boao Forum for Asia Annual Conference 2014. Under the theme “The Future of Hong Kong as an International Financial Centre”, the session highlighted the competitiveness, opportunities and challenges of Hong Kong as a leading financial centre in Asia as well as the role of Hong Kong in the internationalisation of the Renminbi.

FSDC delegation to Beijing
3–4 September, 2014

FSDC conducted a study tour to Beijing in September, 2014, and visited the China Securities Regulatory Commission, State-owned Asset Supervision and Administration Commission as well as a few state-owned and private enterprises. The aim of the visit was to gather first-hand information from relevant authorities and enterprises in the Mainland on issues relating to the overseas investment and financing activities of Mainland enterprises. Members



10th Pan-Pearl River Delta
Regional Co-operation and
Development Forum
12 October, 2014

also took the opportunities to encourage enterprises to use Hong Kong as their hub for overseas expansion and treasury operations.

Mr Chen Shuang, Member of the FSDC, represented the Council and spoke on “Hong Kong’s Financial Platform in Facilitating Mainland Enterprises’ Going-out and Internationalisation” at the “10th Pan-Pearl River Delta Regional Co-operation and Development Forum” in Guangzhou. The event was jointly organised by the HKSAR Government and the Hong Kong Trade Development Council (HKTDC), and was well received with an enthusiastic attendance of over 400 industry representatives in the Mainland.



Think Asia, Think Hong Kong
28–29 October, 2014

FSDC co-organised a financial session with the HKTDC at the Hong Kong signature campaign – “Think Asia, Think Hong Kong” held on 28, October in Paris. A panel was organised under the theme “Hong Kong: Asia’s Premier Asset and Wealth Management Centre”, at which Mrs Laura M Cha provided an overview on the asset management business in Hong Kong. It was followed by a lively discussion panel moderated by FSDC Member Mr Mark McCombe, Blackrock’s Global Head of Institutional Client Business and Chairman of Alternative Investors. Panelists from the asset and wealth management industry discussed Hong Kong as an ideal platform for funds and wealth managers to explore investment opportunities in the region and manage Asian capital. The advantages that investors and corporations could gain by diversifying their investment portfolio through the Hong Kong platform were also highlighted.



FSDC Career Day
9 November, 2014



The inaugural “FSDC Career Day” was held on 9 November to provide a platform for university students to understand the different types of jobs in the financial services industry through experience-sharing by leading practitioners. Comprising keynote speeches, discussion panels and breakout sessions, the half-day event offered practical tips on job search and how to be prepared for a career in finance. The event was attended by over 420 students from ten universities.



Asian Financial Forum
19–20 January, 2015



The FSDC co-sponsored the “Asian Financial Forum” on 19-20 January organised by the HKSAR Government and the HKTDC. The Forum is a flagship annual event in Hong Kong for the region’s financial circle, and attracted a record of over 2,600 participants this year. A breakfast panel sponsored by the FSDC was held on 20 January under the theme “Hong Kong: Asia’s Premier Asset and Wealth Management Centre”. The panel recorded an attendance of 900 international business and financial services professionals from Hong Kong, the Mainland and overseas. Following a welcoming address by Mrs Laura M Cha, FSDC Member Mr Benjamin Hung, moderated a discussion panel on opportunities in asset and wealth management business arising from Hong Kong’s position as the gateway to the burgeoning wealth in the Mainland and the rest of Asia. A “Hong Kong Booth” was also set up at the Forum jointly by the FSDC and InvestHK to showcase Hong Kong’s advantages as Asia’s premier international financial centre.



開支報告

Expenditure Report



Industry Engagement Meetings
April 2014–March 2015

During 2014/15, the FSDC arranged 10 luncheon meetings with industry organisations from various sectors of the financial services industry to discuss issues of their concerns. The insight from practitioners will be useful to the FSDC in shaping its agenda in enhancing the competitiveness of individual sectors and the industry as a whole.



二零一四年四月至二零一五年三月開支報告 Expenditure Report for April, 2014 to March, 2015

開支項目 Expenditure item		港幣千元 (HK\$'000)
(a)	推廣活動 (例如：亞洲金融論壇) Promotional events (e.g. Asian Financial Forum)	963
(b)	其他舉措 (例如：研究、工作坊及業界聯繫) Other initiatives (e.g. research, workshops and industry engagement)	259
(c)	網頁及刊物 Website and publication	104
(d)	秘書處人員辦公地方、行政支援及雜項 Office accommodation for Secretariat staff, administrative support and miscellaneous	444
總計 Total		1,770
<p>數字進位至最接近的千位數。 Figures are rounded to the nearest thousand.</p>		